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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
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| 10/828,920 | 04/20/2004 | John C. Reed | 066821-0281 | 6166 |

7590 07/31/2006

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| EXAMINER |
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WEHBE, ANNE MARIE SABRINA

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| ART UNIT | PAPER NUMBER |
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1633

DATE MAILED: 07/31/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

10/828,920

Applicant(s)

REED, JOHN C.

Examiner

Anne Marie S. Wehbe

Art Unit

1633

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☐ Claim(s) ____ is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☒ Claim(s) 1-11 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. ____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date ____. | 6) <input type="checkbox"/> Other: ____. |

DETAILED ACTION

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-2, 5-6, and 9, drawn to an isolated anti-NAC antibody, a therapeutic composition comprising an anti-NAC antibody, a method of treating a pathology by administering an anti-NAC antibody, and a method of modulating transcription in a cell by contacting a cell with an anti-NAC antibody, classified in classes 530 and 424, subclasses 387.1 and 130.1.
- II. Claim 3, drawn to a cell line producing a monoclonal anti-NAC antibody, classified in class 435, subclass 326.
- III. Claims 5-6, and 9, drawn to a therapeutic composition comprising a NAC protein or functional fragment thereof, a method of treating a pathology by administering a NAC protein or functional fragment, and a method of modulating transcription in a cell by contacting a cell with NAC protein or functional fragment, classified in classes 530 and 514, subclasses 350 and 2.
- IV. Claims 5-6, and 9, drawn to a therapeutic composition comprising a NAC modulating agent, and methods of treating a pathology by administering a NAC modulating agent, classified in classes 530 and 424, subclasses 350 and 520 or 600.
- V. Claims 7-8 and 10, drawn to methods of diagnosing cancer or a pathology associated with NAC comprising contacting a test sample with an anti-NAC antibody, classified in class 435, subclass 7.1.

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- VI. Claim 7, drawn to methods of diagnosing a pathology associated with NAC comprising contacting a test sample with a NAC associated protein (NAP), classified in class 435, subclass 7.1.

Claim 7 link(s) inventions V and VI. The restriction requirement between the linked inventions is subject to the nonallowance of the linking claim(s), claim 7. Upon the indication of allowability of the linking claim(s), the restriction requirement as to the linked inventions shall be withdrawn and any claim(s) depending from or otherwise requiring all the limitations of the allowable linking claim(s) will be rejoined and fully examined for patentability in accordance with 37 CFR 1.104. Claims that require all the limitations of an allowable linking claim will be entered as a matter of right if the amendment is presented prior to final rejection or allowance, whichever is earlier. Amendments submitted after final rejection are governed by 37 CFR 1.116; amendments submitted after allowance are governed by 37 CFR 1.312.

Applicant(s) are advised that if any claim(s) including all the limitations of the allowable linking claim(s) is/are presented in a continuation or divisional application, the claims of the continuation or divisional application may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Where a restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. In re Ziegler, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

The inventions are distinct, each from the other because of the following reasons:

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1) Inventions I, III, and IV are directed to related methods. The related inventions are distinct if the inventions as claimed do not overlap in scope, i.e., are mutually exclusive; the inventions as claimed are not obvious variants; and the inventions as claimed are either not capable of use together or can have a materially different design, mode of operation, function, or effect. See MPEP § 806.05(j). In the instant case, the therapeutic compositions in each method, an anti-NAC antibody, a NAC protein, and a NAC modulating agent, are materially different in chemical, physical, and functional properties each from the other. As such, the methods do not overlap in scope and have materially different modes of operation. Therefore, the search for each invention is not co-extensive and it would place an undue burden on the examiner to search and examine all three inventions together.

2) Inventions V and VI are directed to related methods. The related inventions are distinct if the inventions as claimed do not overlap in scope, i.e., are mutually exclusive; the inventions as claimed are not obvious variants; and the inventions as claimed are either not capable of use together or can have a materially different design, mode of operation, function, or effect. See MPEP § 806.05(j). In the instant case, the “agent” capable of binding a NAC in each method, an anti-NAC antibody or a NAP protein, are materially different in chemical, physical, and functional properties each from the other. As such, the methods do not overlap in scope and have materially different modes of operation. Therefore, the search for each invention is not co-extensive and it would place an undue burden on the examiner to search and examine all three inventions together.

3) Inventions I and V are related in part as product and process of use. The inventions can be shown to be distinct if either or both of the following can be shown: (1) the process for using the

product as claimed can be practiced with another materially different product or (2) the product as claimed can be used in a materially different process of using that product. See MPEP § 806.05(h). In the instant case, the product, of invention I, the anti-NAC antibody, can be used in substantially different methods than the treatment of a pathology or cancer, such as the use of the antibody in in vitro assays such as the assay for diagnosing a pathology of invention V. Further, it is noted that the methods of inventions I and V are materially different in that the methods of invention I are in vivo methods of administering the antibody, whereas the methods of invention V are in vitro assays involving contacting a test sample with the antibody. As such, the search for each invention is not co-extensive and it would place an undue burden on the examiner to search and examine both inventions together.

4) Invention II is patentably distinct in that none of the methods of inventions I, or III-VI require the use of the product of invention II, and that the product of invention II can be used in substantially different methods such as the use of cell to produce antibody in in vitro tissue culture. It is further noted that the antibody of invention I can be isolated directly from the blood of a mammal and does not require the cell line of invention II for its production. As such, the search for each invention is not co-extensive and it would place an undue burden on the examiner to search and examine all inventions together.

5) Inventions III-IV and invention VI are unrelated in that the methods of inventions III-IV and the methods of invention VI use unrelated and materially different products, and are practiced under materially different conditions. As such, the search for each invention is not co-extensive and it would place an undue burden on the examiner to search and examine all inventions together.

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Because these inventions are independent or distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, different classification, and different search requirements, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of an invention to be examined even though the requirement be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

Should applicant traverse on the ground that the inventions or species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions or species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C.103(a) of the other invention.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

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Any inquiry concerning this communication from the examiner should be directed to Anne Marie S. Wehbé, Ph.D., whose telephone number is (571) 272-0737. If the examiner is not available, the examiner's supervisor, Dave Nguyen, can be reached at (571) 272-0731. For all official communications, **the new technology center fax number is (571) 273-8300**. Please note that all official communications and responses sent by fax must be directed to the technology center fax number. For informal, non-official communications only, the examiner's direct fax number is (571) 273-0737. For any inquiry of a general nature, please call (571) 272-0547.

The applicant can also consult the USPTO's Patent Application Information Retrieval system (PAIR) on the internet for patent application status and history information, and for electronic images of applications. For questions or problems related to PAIR, please call the USPTO Patent Electronic Business Center (Patent EBC) toll free at 1-866-217-9197.

Representatives are available daily from 6am to midnight (EST). When calling please have your application serial number or patent number available. For all other customer support, please call the USPTO call center (UCC) at 1-800-786-9199.

Dr. A.M.S. Wehbé

ANNE M. WEHBE' PH.D
PRIMARY EXAMINER

